

ANNEX 3

In these Regulations “continuing professional development” or CPD means 35 hours of logged and certified CPD under the Institute’s CPD scheme over the four learning types; Active, Reflective, Self Directed & Development of Others and include the following activities;

- (a) studying for and taking of examinations within the Institute’s study and examination programme and the study or examination programme of other bodies relevant to the securities and investment industry;
- (b) attendance at lectures, seminars and other Institute events;
- (c) attendance at conferences, exhibitions and seminars relevant to work within the securities and investment industry;
- (d) the taking of short courses relevant to the work within the securities and investment industry
- (e) the imparting of knowledge in relation to the securities and investment industry by coaching or mentoring,
- (f) preparation of papers for conferences and seminars relating to the securities and investment industry,
- (g) writing of articles and reviews accepted for publication in relation to the financial services industry;
- (h) undertaking of committee work with trade associations for the securities and investment industry or committees of financial services organisations;
- (i) such other learning, training or other professional development as the Board of Directors from time to time designates as or treats as continuing learning.